



Understanding Secondary-Tertiary Programmes – Funding and Reporting Overview

February 2017



Session Overview

- STPs – what are they
- Trades Academy Funding including financial modelling overview
- Dual Pathways Pilot Funding (replacing the STP Pilot)
- Other STPs - Unfunded
- Roll Returns and SMS Reporting for STP students
- Q&A



STPs – What are they?

- Secondary-Tertiary Programmes (as defined in the Education Act)
- They are partnerships between schools, tertiary, industry training organisations, employers and other community groups
- Offer more choice and learning options for learners (Y11+)
- So that more learners:
 - stay engaged in learning and training
 - achieve NCEA Level 2
 - make more effective transitions to further study and employment
- Improve responsiveness to regional business/economic need

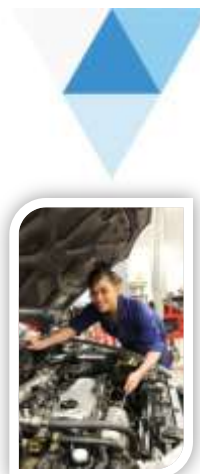
STPs – What are they?

- Most common form of Secondary-Tertiary Programme are **Trades Academies** (e.g. Canterbury Tertiary College, NZ Primary Industries Trades Academy, Otago Secondary-Tertiary College).
- In 2016, 24 Trades Academies in operation, with 6,190 funded places available. Over 300 schools participate nationally. Places rise to 6,350 in 2017
- Another example is the '**Dual Pathways Pilot**', a similar programme but funded differently, e.g. students don't receive a funded place, rather they are counted as part time regular students and tertiary provision is fees free This replaces the '**STP Pilot**' from 2015/16.
- A final example is an '**Other/unfunded/fee for service STP**', where the programme is delivered without specific MOE/TEC funding, e.g. other operational grants/funding is used to support the programme. These students should remain on the schools regular roll as full or part time students.



Trades Academy Funding Overview

- Cash-based flexible funding model – funding follows the student (General Teaching & Learning Component - \$9,500 per annum)
- Cash replaces regular operational grants and staffing entitlements – can be used to cover reduced entitlements
- Untagged operational resource – schools choose how funding is used
- Trades Top Ups, Pastoral Care/Co-ordination and Transport (for high needs) also funded (average funding per student is approx \$11,500)
- The two most common STP models (both demonstrate effective integrated learning programmes):
 - 4 days at school; 1 day at Trades Academy tertiary programme (School Reports 0.8 FTE)
 - 3 days at school; 2 days at Trades Academy tertiary programme (School Reports 0.6 FTE)



Trades Academy Funding Overview

Why cash based funding?

- Existing secondary and tertiary systems funded differently
- Need to integrate learning arrangements across the interface
- Integrated funding arrangements essential to this
- Flexible funding enables schools to offer learners more choices
- To support learning, achievement and transitions

High level funding example

- 10 students in a Trades Academy, 1 day per week in tertiary, e.g. 0.8 FTE in school
- General Teaching Learning fund is split 0.8 FTE to the school and 0.2 FTE to tertiary provider
- School receives \$7,600 per annum per learner (this would drop to \$5,700 if in tertiary 2 days per week). School therefore receives \$76,000 for its 10 students
- Tertiary Provider receives \$1,900 + trades top up (if applicable) of \$700
- Pastoral care of \$1250 per student paid to Lead Provider



Trades Academy Funding Overview

2017 Funding and Staffing Key Points

Funding

- January instalment is based on the July 2016 prediction
- March 1 roll return determines funding for Quarter 1 and 2, e.g. Quarter 1 instalment is washed up to reflect actual roll
- If 0 FTE predicted last year, you will receive backdated funds in April assuming STP roll reported in March
- Enrolment in multiple programmes

Staffing

- 2017 staffing entitlement will be greater of your GMFS (provisional) or March actual.
- GMFS/Provisional entitlement cannot be reduced from having enrolled STP students in 2017 (i.e. this is a protected minimum level)
- Principals' remuneration is not affected



<http://www.fundingcalc.minedu.govt.nz/Staffing/>
<http://www.youthguarantee.net.nz/secondary-tertiary-programmes/trades-academies/trades-academies-for-educators/>

Trades Academy Operational Funding and Staffing Modelling Example

- Step by step process for assessing the effects of Trades Academy participation
- Provides indicator of what STP/Trades Academy funding can be used to support (e.g. Staff and operational grant budget lines).
- All tools can be found on the Youth Guarantee website

Dual Pathways Pilot Funding Overview

- Programme content largely aligns with Trades Academies (in many cases it is the same)
- The Dual Pathways Pilot replaces the current STP Pilot which has been in place from 2014-2016.
- The new Pilot programme will allow greater access to secondary-tertiary provision at Level 3
- Students remain on the schools regular roll as part time students (most typically 0.8 or 0.6 FTE) and are counted towards operational funding and staffing entitlements
- The part time FTE will align with the portion of time the student spends in school
- The tertiary component of the programme is funded by the TEC (no cost to the school)
- Example: How modelling can be used to predict funding and staffing affect

Other STPs – Unfunded Programmes

- Essentially cover any other forms of STPs outside of Trades Academies and the Dual Pathways Pilot
- Arrangements are agreed between stakeholders – there may be no direct involvement from the MOE or TEC (including specific funding) e.g. fee for service arrangement
- Students should remain on the schools regular roll as full or part-time students, examples of how to report:
 - If a school is using its operational funding (e.g. STAR) to fund the tertiary learning, then the students should be treated as full-time students
 - If SAC funding is being used by the tertiary provider to fund tertiary learning, then the students should be reported as part-time students (e.g. 3+2 model)



Reporting/Roll Return Overview

- All students must be reported within your Roll Returns (via SMS/ENROL reporting)
- Different reporting steps depending on whether the students are formally funded Trades Academy students or Dual Pathways Pilot students
- Trades Academy Lead Providers will provide schools with confirmed enrolments in advance of the Roll return – this will confirm NSNs and the FTE rate for each student.
- If a student isn't on this list they may be unfunded or Pilot students (the latter may be defined).
- **Unfunded/Other /Fee for Service STP programme students** should be counted on the regular roll as full or part time students (normal process) e.g. in your SMS you they will be recorded as **student type RE**



SMS/Roll Return Reporting Process

Step	Actions – for both Trades Academy and Pilot Students
1	<p>In your SMS:</p> <ul style="list-style-type: none">a) Record your STP student as student type RE (both Trades Academy and Pilot students)b) Select the STP Provider Code (Codes 1-25) or Pilot Code (Code 98) to identify the student as being enrolled in the programme (provider details aren't mandatory for Pilot funded students).c) Set the students FTE to show the time he or she spends at schools (0.0-1.0 FTE, likely to be 0.6 or 0.8). <p>Your SMS will either count the student as a:</p> <ul style="list-style-type: none">• funded Trades Academy student (if STP Provider is set as any number 1-25); or• part time student in your roll return who is participating in an STP Pilot programme (if Code is set as 98)
2	<p>In ENROL, use the 'attending off-site' flag and choose 'Secondary Tertiary Programme' as the unit type (this is the same for STP Trades Academies and Pilot students).</p>

SMS/Roll Return Reporting Process

Example, using KAMAR

- **Setting up STP's your school is linked to.**

Main Menu ⇒ Admin ⇒ Student Changes

Click the 'Setup' button

The screenshot shows the KAMAR Student Search Filter page. The 'Details' tab is selected. A student named Claire Landrum is shown with a photo. The 'Setup' button is circled in red.

Check the STP(s) that your school is linked to

The screenshot shows the KAMAR Secondary Tertiary Programmes page. The 'Secondary Tertiary Programmes' section is expanded, showing a list of schools with checkboxes.

SMS/Roll Return Reporting Process

- **Assigning STP to each student**

Main Menu ⇒ Admin ⇒ Student Changes ⇒ Details (Edit Mode)

On the 'left' you'll find the 'Secondary Tertiary Program:' field.

The screenshot shows the KAMAR Student Search Filter page in Edit Mode. The 'Secondary Tertiary Program:' field is visible on the left.

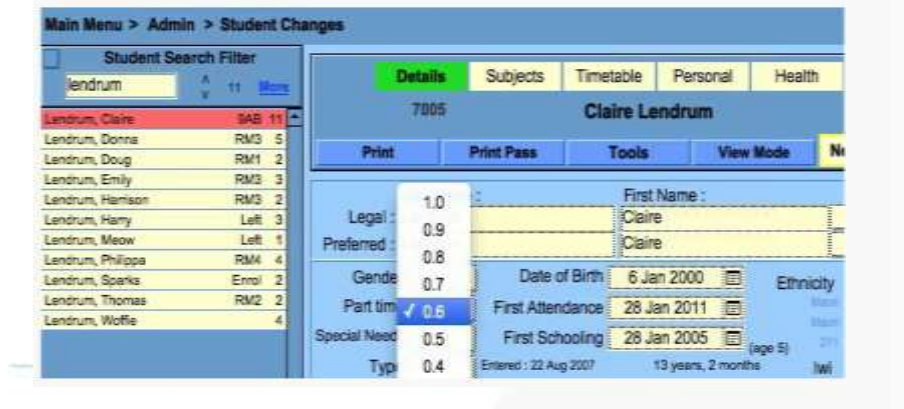
The STP's you have checked should appear in the pop-up list. Select the appropriate provider.

The screenshot shows the KAMAR Student Search Filter page with the 'Secondary Tertiary Program:' field. A pop-up list of schools is visible, including '01 Academy of Trade and Technology (UCOL)' and '08 Manurewa High School Trades Academy'.

SMS/Roll Return Reporting Process

- **Assigning STP FTE (Full-Time Equivalent)**
If a student is attending a STP, then you need to adjust the students FTE to reflect the time they are attending your school only.

ie. If the student is attending a STP for two days a week and therefore your school for three days - then their FTE should be 0.6



SMS/Roll Return Reporting Process

- Steps may differ slightly if using MUSAC, Edge, PC Schools or another SMS but general steps will align (each vendor will have detailed instructions on their website)
- Once SMS data is inputted, this will automatically collate the various Roll Return forms related to STP students (e.g. M3 and M7 forms in March), e.g.
 - STP/Trades Academy funded students will be counted in the STP Students column in the M3, Dual Pathways Pilot students will be counted in the Part-time regular column

A screenshot of a roll return spreadsheet titled '1 March 2017 - PTE - Table M3 - Secondary Schools'. Red arrows point from labels to specific columns: 'Other/unfunded STP Count' points to column 1, 'Dual Pathways Pilot Count' points to column 2, and 'STP Trades Academy Count' points to column 3. The spreadsheet has multiple columns for student data and totals.

Student	Other/unfunded STP Count	Dual Pathways Pilot Count	STP Trades Academy Count	Total
Year 7				
Year 8				
Year 9				
Year 10				
Year 11				
Year 12				
Year 13				
Year 14				
Year 15				
Year 16				
Year 17				
Year 18				
Year 19				
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Year 95				
Year 96				
Year 97				
Year 98				
Year 99				
Year 100				



2017 STP/Trades Academy Planning and Process Overview

Trades Academy

- The Ministry made a determination in August 2016 confirming each STP/TAs roll allocation, with Lead Providers advised in late August.
- Expectation that schools should now have indicative number of places for 2017
- Lead Providers are expected to report to the Ministry in March, prior to this, there is a expectation that Lead Providers will provide roll confirmation details to each school prior to the March 1 roll return.
- No change to current funding rates and other arrangements (e.g. staffing entitlement effects)
- 2017 Transport claim details are with the Lead Provider (claims for the whole programme expected by March/April).



Dual Pathways Pilot

- STP Pilot ended in 2016, and will be replaced by the Dual Pathways Pilot
- Roll allocations completed during last quarter of 2016. Again, expectation that Lead Provider will have indicated potential places by school.

3+2/SAC. Fee for Service and Other Funded Programmes

- No change to current rules around access to SAC funding in a part time capacity
- No change to how STAR/Gateway funding for example can be used.



Any questions/open floor discussion

- Further advice – trades.academy@education.govt.nz
- Youth Guarantee website - <http://youthguarantee.net.nz/secondary-tertiary-programmes/trades-academies/>